SEC Form 4

Х

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

I

| STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP | | | | | |
|--|-----------|--|--|--|--|
| | | | | | |
| Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 | hours per | | | | |
| or Section 30(h) of the Investment Company Act of 1940 | | | | | |

OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

| | | | or Section 30(n) of the investment Company Act of 1940 | | | |
|--|--|------------|---|------------------------|---|---|
| 1. Name and Address of Reporting Person [*] Hostetter Adam J | | | 2. Issuer Name and Ticker or Trading Symbol <u>HEALTHEQUITY, INC.</u> [HQY] | | tionship of Reporting Perso all applicable) Director Officer (give title | n(s) to Issuer 10% Owner Other (specify |
| (11100) | | ` , | 3. Date of Earliest Transaction (Month/Day/Year) 06/29/2021 | | below) EVP Chief Marketin | below) g Officer |
| (Street) DRAPER UT 84020 | | 84020 | 4. If Amendment, Date of Original Filed (Month/Day/Year) | 6. Indiv Line) X | idual or Joint/Group Filing Form filed by One Repor Form filed by More than Person | rting Person |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transa Code (8) | | 4. Securities Disposed Of 5) | | | Securities Beneficially | (D) or Indirect | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--|---|------------------------------|--------|------------------------------------|-------|------------------------------------|----------------------------|-----------------|---|
| | Code V | | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | (1130.4) | |
| Common Stock | 06/29/2021 | | F | | 1,201 | D | \$82.13 | 20,843 | D | |

| | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | |
|---|--|--|---|------------------------------|-----------------|-----|--|---------------------|---|-------|---|--|--|--|--|
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transa Code (8) | 5. Number of | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |

Explanation of Responses:

/s/ Adam Hostetter

06/30/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.